

## Product Information

# Descartes Visual Compliance™ Compliance Manager Workflow

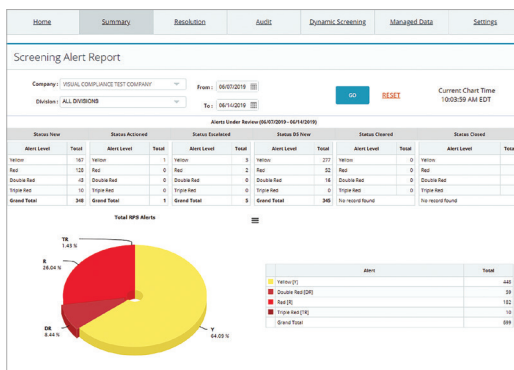


## Improve the Efficiency of the Restricted Party Screening Match Review Process

After completing restricted party screening, organizations need to resolve potential matches and record their decision-making. Reviewing and vetting potential matches is an essential part of maintaining compliance, but the decision-making and record keeping process can often be cumbersome and inefficient. Having a solution with a workflow that also automatically records the steps taken for due diligence makes the process more straightforward and efficient.

Descartes Visual Compliance™ Compliance Manager Workflow helps organizations improve the efficiency of their match reviewing process by providing tools to easily resolve matches, manage rescreening, run audit reports, maintain a detailed audit trail, and more. It is a comprehensive solution that increases visibility and streamlines compliance programs. The solution also maintains a record of screening and vetting activities. This includes details like when the screening was performed, who performed the screening, what search terms were used, and the rationale behind the decision made on potential matches. The built-in notes eliminate the need for easily misplaced printouts and files, while dashboards provide views of Restricted Party Screening results that need to be addressed across the entire organization.

Built with the needs of compliance managers in mind, Descartes Compliance Manager Workflow helps to save time and improve consistency. The solution functions as a control center from which compliance departments can take charge of organization's compliance program and help make sure that potential issues are addressed in a timely fashion. It provides the oversight and reporting metrics necessary to allow compliance managers to easily manage all screening activities.



**Resolution Manager - Quick Review**

Alert: ALL MPF Alerts Status: New From: 06/01/2018 To: 06/10/2018

Screeners: Select Screeners Dismiss: ALL DISMISS User: 0 Screening Date: 0 New Match Date

Resolvers: Select Resolvers

Search Results

Entity	Matched Entity	City	Country	Source	Alert	Results
1. Name: Wayne Smith Company: [Redacted] Address: [Redacted] City: [Redacted] State: [Redacted] Country: [Redacted]	Wayne Smith [Redacted] [Redacted] [Redacted] [Redacted] [Redacted]	Wayne	US	OSP	Red	None
2. Name: Wayne Smith Company: [Redacted] Address: [Redacted] City: [Redacted] State: [Redacted] Country: [Redacted]	Wayne Smith [Redacted] [Redacted] [Redacted] [Redacted] [Redacted]	Wayne	US	OSP	Red	None
3. Name: Wayne Smith Company: [Redacted] Address: [Redacted] City: [Redacted] State: [Redacted] Country: [Redacted]	Wayne Smith [Redacted] [Redacted] [Redacted] [Redacted] [Redacted]	Wayne	US	OSP	Red	None

TOTAL COUNT

## Features

Descartes Compliance Manager Workflow provides:

- An at-a-glance dashboard with views of screening activities across the entire organization
- A process to clear, action, and escalate alerts from restricted party screening activities
- Automated record keeping that provides details essential for proof of due diligence
- The ability to include documents for decision-making
- Bulk status updates with a single mouse-click
- Extensive reporting options to help improve visibility of compliance activities
- Management of white lists, hot words, and inclusions/black lists

## Benefits

The solution can also help to:

- Increase visibility and oversight with a “big picture” view of screening activities
- Ensure consistency by having everyone in the organization on the same page, and using the same workflow processes
- Reduce risk of missing or misplaced documents or files related to decision-making
- Save time by allowing the compliance team to focus on the organization’s highest priority matches results

The screenshot shows the 'Standard Search' interface of the Descartes Compliance Manager Workflow. The interface is divided into a main search area on the left and a sidebar on the right. The main search area contains fields for Name, Company, Address (street number, street name, extension, city, state, zip code), Country, and Comment. It also includes a dropdown for 'ECCN for country controls check' and a 'SCREEN' button. The sidebar on the right contains 'Screening Quicklinks' (Authorities Consulted List, Recent List Changes, Recent GSA List Changes) and 'Visual Compliance Quicklinks' (Best Practices, Training, Compliance Blog, Email Distribution List, Help).

*Descartes Compliance Manager Workflow works hand-in-hand with Descartes Visual Compliance Restricted Party Screening solutions to provide centralized compliance hub, allowing users to automatically record restricted party screening activity in a single database, regardless of whether screening was performed online, as a batch, integrated into an organization’s business systems, or as part of daily re-screening.*

**Streamline export, trade, and Office of Foreign Assets Control (OFAC) compliance with Descartes Visual Compliance Restricted Party Screening solutions**